

## **LABORATORY 3**

### ***Laboratory of Data Analysis, Error Correction Codes and Cryptology***

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### **DIRECTIONS OF ACTIVITY:**

- error control codes and information transmission;
- geoinformation technologies and systems;
- partner system design;
- theory of the speech signal.

### **MAIN RESULTS**

#### **ERROR CONTROL CODES AND INFORMATION TRANSMISSION**

The following problems are under consideration:

- constructions, decoding and bounds for convolutional and block codes;
- combinatorial problems in vector spaces, covering codes;
- arcs, caps, and saturating sets in projective geometries over finite fields;
- graph theory.

In 2002 year as a continuation and an extension of many years works the following researches and developments are carried out.

Researches and development of rearrangements in turbo codes and woven convolutional codes maximizing code distance and optimizing weight distribution are performed. Nonrandom rearrangements based on linear and cubic transformations modulo code length are proposed. A program system permissive to research and to choose rearrangements for turbo and woven convolutional codes efficiently is created. An analysis of concatenated schemes based on convolutional codes is executed.

A basic version of a program system for simulating and researches of concatenated code constructions based on convolutional codes is developed. This system permits to create distinct constructions from built-in sets of convolutional codes and interleaving types, to research distance characteristics of constructions, to create distinct variants of concatenated and iterative decoders, to perform a statistical simulating for estimates probability characteristics of code constructions and decoders.

Jointly with Lund University, Sweden, a construction of woven convolutional codes with one tailbiting component code is developed. This construction has better parameters than a woven convolutional code with the same convolutional codes-components but without tailbiting. Estimates of correcting properties of convolutional and tailbiting convolutional codes based on their active distances are obtained. A suboptimal decoding algorithm of tailbiting convolutional codes is proposed. This algorithm has the same probabilistic characteristics as the optimal decoder but its implementation complexity is essentially smaller. Metric characteristics of an estimate of correcting properties for window decoding of convolutional codes are obtained.

Jointly with Ulm University, Germany, researches of woven codes based on bipartite graphs and hypergraphs-extenders with block codes as components are executed. Random methods constructing codes based on bipartite graphs and hypergraphs-extenders with Reed-Muller codes as components are developed. Program systems for simulating are created. Simulation results show big availability of the considered class of woven codes.

Estimates of probabilistic characteristics for decoding binary codes into a list defined by code words in a sphere of a given radius are investigated. Relations between probabilities of error and rejection of decoding are obtained. For a small radius this relations are better than known those.

Researches and program implementation of algorithms of Sudan, Sudan and Guruswami for list decoding of Reed-Solomon codes over arbitrary finite fields of characteristic two are performed. A soft decoding for these approaches is investigated also. A basic version of a program system for simulating and researches of list decoding of Reed-Solomon codes is developed. This system includes whole and stage-by-stage implementation of the list decoding algorithm of Sudan and Guruswami with assignment of input lists with the most probable values of symbols and forming a list of the most probable output code words. The system permits to analyze work and complexity of all stages of the algorithm. It can be used in the educational programs of high Schools on professions of telecommunication and information protection.

The problem of symbol by symbol a posteriori probability decoding for information symbols of nonsystematic encoded block codes is considered. An extended trellis representation for block codes is introduced that enables the application of the known BCJR algorithm as well as trellis based decoding in the dual code space. Complexity properties of the extended trellis are investigated.

OFDM transmission over time varying mobile radio channels is considered. A class of  $(L, R)$  channels is introduced. For the  $(L, R)$  channel, the duration of the impulse response is upper bounded by  $L$  and the spectrum of the impulse response is zero except for the first  $R$  components. An algorithm for maximum likelihood estimation of the transfer function of the  $(L, R)$  channel is suggested.

By simulations in AWGN and fading channels it is shown that for certain conditions suboptimal iterative multistage decoding is very close to optimal maximum likelihood decoding and even improves it if interleaving is used.

Bounds on the covering radius of linear codes with a known dual distance, bounds on packings of spheres in the Grassmann manifolds, a low-rate bound on the reliability of a quantum discrete memoryless channel, some polynomials related to weight enumerators of linear codes, and random codes are investigated. A number of researches connected with error exponents are performed.

In graph theory the following problems are considered: edge isoperimetric problems for regular graphs, a new approach to Macaulay posets, a local-global principle for vertex-isoperimetric problems.

## **Institute for Information Transmission Problems**

Jointly with Perugia University, Italy, relations and close properties of saturating sets in projective geometry  $PG(n,q)$  and covering codes in coding theory are investigated. With using these relations upper and lower bounds, constructions, and infinite families of codes and sets are obtained. With the help of computer many new relatively small 1-saturating sets in  $PG(2,q)$  and 2-saturating sets in  $PG(3,q)$  are constructed. New constructions of "small" complete caps in binary projective spaces are proposed.

During 2002 laboratory was cooperated with universities of Germany, Sweden and Italy. The main topics of the cooperation were continuation of many years investigations in communication problems and combinatorial problems in vector spaces. With Ulm University (Germany) woven convolutional codes based on bipartite graphs and hypergraphs-extenders with block codes as components was investigated. With University of Lund (Sweden) woven convolutional codes using cyclic closed convolutional codes as one of components was created and analyzed. Royal Academy of Sweden supports these investigations. With Perugia University (Italy) arcs, caps, and saturating sets in projective geometry over finite fields are studied.

### **GRANTS FROM:**

- **Ministry of Industry, Science and Technology of Russian Federation (contract No. 37.053.11.0062):** "Error correction and source coding: models and algorithms". Head of the project V. V. Zyablov, responsible executor V. B. Afanassiev.

### **GEOINFORMATION TECHNOLOGIES AND SYSTEMS**

Geoinformation technology of new generation is under developing. Fundamental principles of the technology are remote access to geographical information (GI), high interactivity of GI analysis, intuitive understandable interface and spatio-temporal data mining tools.

The fundamental principles of geoinformation technology are realized in the network analytical GIS GeoProcessor and COMPASS, problem domains of which are analysis and forecasting of natural and social processes and phenomena. The GISs are designed in Java 1.1 in client-server architecture (<http://www.iitp.ru/projects/geo>, <http://borneo.gmd.de/and/geoprocessor>).

GIS GeoProcessor is intended for publication and complex analysis of spatio-temporal characteristics of geological environment and for solving of forecasting and zonation problems in Earth sciences (natural hazard assessment, mineral and oil/gas deposits exploration). The system supports remote access to geographical information, interactive cartographic analysis of grid-based, vector and point data, spatial data mining. The system helps to evaluate the environment properties on the base of principle of analogy using the methods of multidimensional plausible reasoning: method of similarity on a precedent set, method of similarity on expert fuzzy logic knowledge, method of membership function for two classes, method of non-parametric regression.

GIS COMPASS II (Cartography Online Modeling, Presentation and Analysis System) supports analysis of vector GI. Friendly and interactive interface for multilayer vector GI cartographic representation and intuitive understandable tools for spatio-temporal data mining based on interactive analysis of complex properties of geographical objects make the system available for a wide range of the Internet users (non-professionals and specialists). Problem domains of GIS COMPASS are economy, sociology, demography, ecology, policy, marketing research, and management control.

Demonstration databases, which contain geological, geophysical, seismo-tectonic, social, economic and demographic information, have been created. The total volume of the data is about 35MB. The databases are accessible on site <http://www.iitp.ru/projects/geo> for interactive cartographical exploration and analysis with the help of GISs GeoProcessor and COMPASS. The database for GIS GeoProcessor contains the digital models of topography, geophysical fields, geological faults, catalogues of earthquakes and topographical elements. The database for GIS COMPASS contains examples of social and economic information on Russian Federation and World Countries, as well as an example of census data on region of Manchester.

Exploration of the databases by the tools of GISs GeoProcessor and COMPASS confirms their efficiency. It allows to offer free of charge dissemination of GISs GeoProcessor and COMPASS to publish and analyse geographical information for scientific and educational centres of Russia, including the sites of the appropriate RFBR grants.

*International cooperation.*

Cooperation within the framework of the 5FP IST program under the project "Spatial mining for Data of Public Interest" (acronym SPIN!, contract IST-1999-10536) was continued. The following countries participate in the Project: Germany, Italy, Great Britain and Netherlands. Very close problems are investigated in scope of the Agreement on scientific and technical cooperation "Spatial-Temporary Data Mining Information Technology for Environmental and Human Dimension Applications" with Fraunhofer AIS.SPADE institute (former name GMD, Germany). New methods of the spatio-temporal analysis of grid-based and vector data were developed and some methods of GIS GeoProcessor and GIS Descartes (AIS) were integrated.

The agreement on scientific and technical cooperation with Institute of seismology of the Ministry of education and sciences of the Republic Kazakhstan "Development and application of geoinformation technology for complex seismic hazard assessment of Kazakhstan territory" was concluded.

The work with Institute of the Earthquake Prediction and Analysis of Chinese State Seismological Bureau (CSB) was continued within the framework of the agreement on scientific and technical cooperation between RAS and CSB (together with UIPE RAS) "Study and physical interpretation of spatio-temporal variations of earthquake precursors in the North-East China".

The research results were reported on the international conferences and workshops. The systems GeoProcessor and COMPASS were presented with the support of RF Ministry of industry, science and technology at international exhibition Ce-Bit'2002 (Germany).

## **GRANTS FROM:**

- **Russian Foundation of Basic Research (No. 00-07-90100):** "Network geoinformation systems for presentation and analysis of spatio-temporal information referring to Earth Sciences and human dimension".
- **Ministry of Industry, Science and Technology of Russian Federation:** "Development of an information technology for spatio-temporal data mining for analysis and forecasting of natural and social processes and phenomena".
- **IST Program (EU IST – 10536):** "Spatial Mining for Data of Public Interest (SPIN!)".

## Institute for Information Transmission Problems

### PARTNER SYSTEM GROUP

Investigated the problem of knowledge and data integration with the goal of creation encyclopedic knowledge systems for decision support systems, and knowledge production and propagation.

Developed technologies get further evolution. They will be used to creation of applied intellectual systems on the base of generating them by means of projecting integral base onto particular problem area.

Windows prototype of software environment kernel for support of knowledge and data unification process on the base of conceptual network models matching is developed. Created kernel allows us to realize previously developed method for clinical information structuring with the goal of fullness providing of registered data in computer systems for physician's professional decisions supporting. This provides us by possibility for developing of software environment for supporting of physician's professional decisions in real multiple profile medical clinic when needed to process data and knowledge in multidimensional spaces of initial descriptions, users interaction, knowledge receiving from different sources, and creation of new knowledge.

Developed kernel provides us also by possibilities of multiphase learning process realization in the frame of Partner Systems concept. These processes first of all are oriented onto medicine, and allow to user to learn the professional language and knowledge in the active regime. All of this can spread the learning process up to creation of absolutely new knowledge.

### **GRANTS FROM:**

- **Russian Foundation of Basic Research (No. 01-01-01020):** "The development of knowledge management methods for large clinical knowledge-based system".
- **Program of Presidium of Russian Academy of Sciences "Intellectual Computer Systems" (№ 3.4):** "Intelligent decision support within the framework of the project "Partner System".

### THEORY OF THE SPEECH SIGNAL

There were studied criteria of optimality for inverse problems "acoustic parameters – vocal tract shape", "vocal tract shape – controls", "articulatory displacements – controls" using X-ray microbeam measurements and electromyograms of internal and external muscles. Instantaneous and integral criteria of work, elastic force, kinetic energy and total force were considered. In non-speech mode and for the task "from vocal tract shape to controls", instantaneous criteria provided sufficiently accurate solutions while for the task "from articulatory displacements to controls" only integral criteria on the time interval about 100 ms were appropriate. Inverse problem solutions reproduced the effect of bite-block compensation and the reorganization of control scores for different rates of articulation.

A 3-dimensional vocal tract model was developed taking into account *sinuses piriformis*, alternative width of the pharynx and yielding walls, which considerably increased the accuracy of resonance frequencies computation.

The first version of digits recognition was tested in the speaker-independent mode, different type of microphones and channels for signal-to-noise ratio 10-20 dB. Word error rate was about 12%.

## **PUBLICATIONS IN 2002**

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